JAN 2 2 2007



612.455.3801

# HAMRE, SCHUMANN, MUELLER & LARSON, P.C. AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION

January 22, 2007

TO

Office of Initial Patent Examination

**Customer Service Center** 

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1874USWO

TELEPHONE: (612) 455.3800

Total pages, including cover letter:

10

PTO FAX NUMBER: 571,273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Documents:

Request for Corrected Filing Receipt Marked Copy of Filing Receipt Supplemental Application Data Sheet

Applicant:

UENO et al.

Serial No.:

10/572761

App. Filed:

March 21, 2006

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Name: Douglas P. Mueller

Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Lauren Sindt

Signature

Date

225 SOUTH SINTH STRIET - SUITF 2650 - MINNEAPOLIS - MN 55402 TEL 612.455.3800 - FAX 612.455.3801 WWW.HSMLCOM - MAIL@HSMLCOM

## RECEIVED **CENTRAL FAX CENTER**

JAN 2 2 2007

S/N 10/572761

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UENO et al.

Serial No.:

10/572761

Filed:

March 21, 2006

Docket No.:

10873.1874USWO

Title:

LIGHT-EMITTING ELEMENT

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on January

Lauren Sindt

### REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Assistant Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections.

One of the Foreign Priority applications has not been included. Please add JAPAN 2004-031733 02/09/2004.

Also being filed herewith is a Supplemental Application Data Sheet correcting an error on the Application Data Sheet as originally submitted. This error does not appear on the filing receipt.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

53148

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER & LARSON, P.C.

P.O. Box 2902

Minneapolis, MN 55402-0902

(612) 455-3800

Dated: January 12

Douglas . Mueller

Reg. No. 30,300

DPM/ls

Page 1 of 3



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Prisons and Trademark Office Advise COMMESSIONE FOR PATENTS FO. Dep 1419 Alexandra Manda 22013-1430

FILING OR 371 ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS FIL FEE REC'D ART UNIT (c) DATE 1400 10873.1874USWO 10/572,761 03/21/2006 2812

**CONFIRMATION NO. 3335** 

53148 HAMRE, SCHUMANN, MUELLER & LARSON P.C. P.O. BOX 2902-0902 MINNEAPOLIS, MN 55402

**FILING RECEIPT** \*OC000000021459765\*

Date Mailed: 12/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Iwao Ueno, Kyoto, JAPAN; Junichi Kato, Osaka, JAPAN: Seiji Nishiyama, Osaka, JAPAN; Naoki Noda, Osaka, JAPAN;

### Assignment For Published Patent Application

Matsushita Electric Industrial Co., Ltd., Osaka, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 53148.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15614 10/21/2004

### Foreign Applications

JAPAN 2003-366508 10/27/2003 JAPAN 2003-383907 11/13/2003 JAPAN 2004-027204 02/03/2004 JAPAN 2004-161442 06/18/2004 JAPAN 2004-303178 10/18/2004

JAPAN 2004-031733 02/09/200

If Required, Foreign Filing License Granted: 12/02/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/572,761

Page 2 of 3

Projected Publication Date: 03/15/2007

Non-Publication Request: No

Early Publication Request: No

Title

Light-emitting element

**Preliminary Class** 

438

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help. "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-868-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

Page 3 of 3

Page 5/10

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to esplonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

ij

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

\*\*\* 50.2%

# RECEIVED CENTRAL FAX CENTER

JAN 2 2 2007

# Supplemental Application Data Sheet

**Application Information** 

Application Type::

Regular

Subject Matter::

Utility

Suggested Classification::

Suggested Group Art Unit::

CD-ROM or CD\_R?::

None

Number of CD disks::

Number of copies of CDs::

Sequence Submission::

No

Computer Readable Form (CRF)?::

No

Title::

LIGHT-EMITTING ELEMENT

Attorney Docket Number::

10873.1874USWO

Request For Early Publication::

No

Request For Non-Publication:: -

No

Suggested Drawing Figure::

1

**Total Drawing Sheets:** 

27

Small Entity::

No

Latin Name::

**Variety Denomination Name::** 

Petition Included::

No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers::

Secrecy Order in Parent Appl.?::

No

Supplemental Application Data Sheet App No: 10/572761 3/21/2006

### **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: JAPAN

Status:: Full Capacity

Given Name:: IWAO

Middle Name::

Family Name:: UENO

Name Suffix::

City of Residence:: KADOMA-SHI

State or Province of Residence:: OSAKA KYOTO

Country of Residence:: JAPAN

Street of mailing address:: C/O MATSUSHITA ELECTRIC INDUSTRIAL CO...

LTD., 1006, OAZA KADOMA

City of mailing address:: KADOMA-SHI

State or Province of mailing address:: OSAKA

Country of mailing address:: JAPAN

Postal or Zip Code of mailing address:: 571-8501

### Applicant Information

Applicant Authority Type:: Inventor

Primary Citizenship Country:: JAPAN

Status:: Full Capacity

Given Name:: JUNICHI

Middle Name::

Family Name:: KATO

Name Suffix::

City of Residence:: KADOMA-SHI

State or Province of Residence:: OSAKA

Supplemental Application Data Sheet App No: 10/572761 3/21/2006

Page 2

Country of Residence::

**JAPAN** 

Street of mailing address::

C/O MATSUSHITA ELECTRIC INDUSTRIAL CO.,

LTD., 1006, OAZA KADOMA

City of mailing address::

KADOMA-SHI

State or Province of mailing address::

OSAKA .

Country of mailing address::

**JAPAN** 

Postal or Zip Code of mailing address:: 571-8501

# Applicant Information

Applicant Authority Type::

Inventor

Primary Citizenship Country::

JAPAN

Status::

**Full Capacity** 

Given Name::

SEIJI

Middle Name::

Family Name::

NISHIYAMA 1

Name Suffix::

City of Residence::

KADOMA-SHI

State or Province of Residence::

OSAKA

Country of Residence::

**JAPAN** 

Street of mailing address::

C/O MATSUSHITA ELECTRIC INDUSTRIAL CO.,

LTD., 1006, OAZA KADOMA

City of mailing address::

KADOMA-SHI

State or Province of mailing address::

**OSAKA** 

Country of mailing address::

**JAPAN** 

Postal or Zip Code of mailing address:: 571-8501

Supplemental Application Data Sheet App No: 10/572761 3/21/2006

# **Applicant Information**

**Applicant Authority Type::** 

Inventor

Primary Citizenship Country::

**JAPAN** 

Status::

**Full Capacity** 

Given Name::

**NAOKI** 

Middle Name::

Family Name::

NODA

Name Suffix::

City of Residence::

KADOMA-SHI

State or Province of Residence::

OSAKA

Country of Residence::

**JAPAN** 

Street of mailing address::

C/O MATSUSHITA ELECTRIC INDUSTRIAL CO.,

LTD., 1006, OAZA KADOMA

City of mailing address::

KADOMA-SHI

State or Province of mailing address::

**OSAKA** 

Country of mailing address::

**JAPAN** 

Postal or Zip Code of mailing address:: 571-8501

### Correspondence Information

Correspondence Customer Number::

53148

### Representative Information

Representative Customer Number::	53148
representative oddienier rember	00140

### Foreign Priority Information

Country::	Application Number::	Filing Date::	Priority Claimed::
JAPAN	2003-366508	10/27/2003	Yes

Supplemental Application Data Sheet App No: 10/572761 3/21/2006

JAPAN	2003-383907	11/13/2003	YES	
JAPAN	2004-027204	2/3/2004	YES	_
JAPAN	2004-031733	2/9/2004	YES	
JAPAN	2004-181442	6/18/2004	YES	
JAPAN	2004-303178	10/18/2004	YES	

# **Assignee Information**

Assignee Name::

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

Street of mailing address::

1006, OAZA KADOMA,

City of mailing address::

KADOMA-SHI

State or Province of mailing address::

**OSAKA** 

Country of mailing address::

**JAPAN** 

Postal or Zip Code of mailing address:: 571-8501